

Distinguished Speaker Series

A Conversation with Mary Schapiro, Chief Executive Officer, FINRA

Financial Industry Regulatory Authority (FINRA) is the largest non-governmental regulator for all securities firms doing business with the U.S. public. Created in 2007 through the consolidation of NASD and NYSE Member Regulation, FINRA is dedicated to investor protection and market integrity through effective and efficient regulation and complementary compliance and technology-based services. Ms. Schapiro also serves as Chairman of the FINRA Investor Education Foundation, the largest foundation in the U.S. dedicated to investor education.

Ms. Schapiro joined the organization in 1996 as President of NASD Regulation and was named Vice Chairman in 2002 and President and CEO in 2006. Before assuming her present duties, Ms. Schapiro was Commissioner of the SEC (1988-1994) and Chairman of the federal Commodity Futures Trading Commission. She was appointed by President Clinton in 1994. The CFTC is responsible for regulating the US futures markets, including financial, agricultural and energy markets. As Chairman, she participated in the President's Working Group on Financial Markets with the Secretary of the Treasury and the Chairman of the Federal Reserve Board and the SEC.



Wednesday,
September 26, 2007

Georgetown University
Riggs Library, Healey Hall

Wine and hors d'oeuvres
served at 6:30 PM, dinner to
follow

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The Center For Business and Public Policy

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Healey Hall is the iconic grey-stone building located just behind the main gates to Georgetown's Campus at the corner of 37th & O Streets, NW. Riggs Library is located on the 3rd floor of Healey Hall on the south side of the building.

Complimentary valet parking will be available at the main gates of Georgetown's Campus at 37th & O Streets NW. When you RSVP please indicate if you intend to use the valet service.

There are several public transportation options available to arrive at the university. Please contact Kelly Castellón and she can tell you how to best arrive via public transportation.

Business attire is requested.

If you have any food allergies, please let Kelly Castellón know before September 15 to ensure we can accommodate you.

Please RSVP no later than
September 15.